

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of )  
 )  
Kaoru Kagami et al. ) Group Art Unit 2839  
 )  
Serial No. 09/901,307 )  
 ) Before the Examiner  
Filed: July 9, 2001 ) Thanh-Tam Le  
 )  
OPTICAL FILTERING MODULE ) February 23, 2004  
OPTICAL DEVICES USING SUCH )  
OPTICAL FILTERING MODULE )

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Sir:

Pursuant to 37 C.F.R. §1.56, Applicants wish to bring to the attention of the Examiner the following patents, publications and/or other information which are also listed on the attached PTO Form PTO/5B08A (modified). Copies of cited items are enclosed in accordance with § 1.98.

Patent or Publication No.	Issue/Publication Date
WO 96/19743	6/27/1996
JP 11-337765	10/12/1999
JP 2000-056195	25/02/2000

The identified information, taken alone or in combination with other art, relates to the subject matter of the invention, but fails to teach, disclose or suggest the present invention. The filing of this Information Disclosure Statement shall not be construed as an admission that the information cited is, or is considered to be, material to patentability as defined in § 1.56(b).



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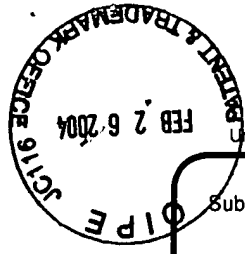
Applicants enclose a check in the amount of \$180 to cover the filing of this Information Disclosure Statement.

Respectfully submitted,

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<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<i>Complete if Known</i>			
		Application Number	09/901,307		
		Filing Date	July 9, 2001		
		First Named Inventor	Kaoru KAGAMI et al.		
		Group Art Unit	2839		
		Examiner Name	Tanh-Tam Le		
Sheet	1	of	1	Attorney Docket Number	10110-5

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Application of Cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Application of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>4</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		WO 96/19743	06/27/1996			
		JP 11-337765 (Eng. Abstract)	10/12/1999			
		JP 2000-056195 (Eng. Abstract)	25/02/2000			

Examiner Signature	Date Considered
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